

18TH NATIONAL CONFERENCE FOREIGN CORRUPT PRACTICES ACT

THE PREMIER LEGAL & REGULATORY ANTI-CORRUPTION COMPLIANCE EVENT

KEYNOTE ADDRESS

The Honorable Alice S. Fisher
Assistant Attorney General, Criminal Division
U.S. Department of Justice

ENFORCEMENT UPDATE

Mark F. Mendelsohn
U.S. Department of Justice

Rick Firestone
U.S. Securities and Exchange Commission

Donald W. Freese
Federal Bureau of Investigation

Dr. Günther Puhm
Senior Public Prosecutor (Germany)

Jean-Bernard Schmid
Deputy Prosecutor General (Switzerland)

Fabio De Pasquale
Deputy Prosecutor (Italy)

Nicola Bonucci
OECD

EXCLUSIVE WORKSHOPS — NOVEMBER 12 & 15, 2007

- A** The Fundamentals of FCPA Compliance
- B** Conducting an Effective FCPA Compliance Assessment
- C** Overcoming FCPA Compliance Challenges in Russia and the CIS
- D** Dos and Don'ts in Preparing Voluntary Disclosures

CRUCIAL IN-HOUSE INSIGHTS FROM

AAI	Flextronics	Raytheon
Alcoa	Honeywell International	Rockwell Automation
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Citigroup	McDonald's	Wal-Mart Stores
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Get "must-have" insights from senior industry executives, FCPA attorneys and government officials on:

- SEC and DOJ enforcement priorities and how latest cases in the U.S. and abroad affect compliance programs
- How to conduct effective global investigations and overcome conflicting local laws and traditions
- Pros and cons of voluntary disclosures and how the government evaluates them
- Enhancing the internal audit function to detect FCPA violations
- Conducting pre-merger/pre-IPO due diligence
- Minimizing liability risks when dealing with foreign third parties
- Avoiding gifts, hospitality and facilitation pitfalls
- Appointing and working with compliance monitors
- Implementing a global anti-corruption compliance program
- Combining anti-money laundering and FCPA controls to reduce risk



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Do not miss the FCPA event that everyone is talking about

18TH NATIONAL CONFERENCE

FOREIGN CORRUPT PRACTICES ACT

An FCPA enforcement explosion is reaching across industries and around the globe. 2007 has already produced both the largest criminal fine and the largest combined sanction ever imposed for violations of the FCPA. Recent settlements of FCPA actions have resulted in heavy civil and criminal penalties for both individuals and corporate offenders, disgorgement of profits and, increasingly, the imposition of independent monitors. Foreign governments, primarily in Europe, are also cracking down on corruption and actively cooperating with US enforcement officials. Companies today face not only FCPA enforcement actions in the United States, but the possibility of enforcement actions in other jurisdictions as well.

The increase of FCPA enforcement makes prevention an even higher priority than it may have been in the past. The absence of preventative measure is almost certain to result in substantially increased penalties if an issue arises. This makes an effective anti-corruption compliance program essential for all companies. With record-setting sanctions involving well-known companies, global organizations have to reassess their own anti-corruption measures to prevent lapses that might lead to damaging and costly enforcement actions.

Now in its 18th successful year, the **American Conference Institute's National Conference on the FOREIGN CORRUPT PRACTICES ACT** is the event that corporate counsel, compliance officers, outside counsel and consultants rely on for comprehensive, insightful, and practical guidance on critical FCPA compliance issues. Benefit from the practical

perspective of seasoned corporate FCPA compliance executives, get an update on enforcement and policy initiatives from top Government officials and FCPA attorneys, and learn how industry is setting up internal controls to comply in this constantly changing global legal landscape. This year's agenda will include the latest information on:

- Changes you need to make to your compliance program as a result of recent cases against companies and individuals
- How government agencies evaluate voluntary disclosures
- Harmonizing U.S. and foreign anti-corruption compliance obligations
- How to design and implement due diligence for a prospective foreign third party relationship
- What to look for in a target's anti-corruption compliance
- Managing the PR impact of an investigation or voluntary disclosure
- How to incorporate robust FCPA auditing into your compliance program

Last year's event was the largest turnout ever for this conference. Be sure to register early by calling 1-888-224-2480, by faxing your registration form to 1-877-927-1563 or by registering online at www.AmericanConference.com/FCPA.

A MUST-ATTEND EVENT FOR


- General Counsel
- International Counsel
- Trade Counsel
- Compliance Officers
- Ethics Officers
- Forensic Auditors
- Vice Presidents and Directors
 - Global Compliance
 - Business Conduct and Investigations
 - Internal/Corporate Audit
 - Corporate Responsibility
 - Regulatory Compliance
- International Contract Managers
- Outside Counsel specializing in
 - International Trade
 - Corporate Compliance
 - White Collar Crime
 - Internal Investigations

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AGENDA-AT-A-GLANCE

NOVEMBER 12, 2007 • PRE-CONFERENCE WORKSHOPS A AND B & ALUMNI RECEPTION

- 9:00 Workshop A – The Fundamentals of FCPA Compliance: The Foreign Corrupt Practices Act Demystified
- 1:30 Workshop B – Conducting an Effective FCPA Compliance Assessment
- 5:00 Alumni Cocktail Reception  (invitation only)

NOVEMBER 13, 2007 • MAIN CONFERENCE | DAY 1

- 7:30 Continental Breakfast and Registration 
- 8:30 Opening Remarks from Conference Chair
- 8:45 The FCPA Year in Review: Trends in FCPA Enforcement and How They Impact Compliance Programs
- 10:00 Networking Coffee Break 
- 10:15 Appointing and Working with Compliance Monitors: Lessons Learned from Recent Monitorships
- 11:15 Conducting a Global Investigation: How to Overcome Barriers to Information Gathering and Conflicting Local Laws and Traditions
- 12:30 Networking Luncheon for Delegates and Speakers 
- 1:30 Luncheon Address – The Level Playing Field: Does FCPA enforcement Put US Companies at a Disadvantage?
- 2:00 International Enforcement: Prosecutors Speak on Anti-Corruption Enforcement Explosion in Europe and What Makes a Good Case
- 3:15 Refreshment Break
- 3:30 The Limits of Permissible Payments: Business Promotion, Hospitality, Facilitation and Gifts
- 4:45 Enhancing the Internal Audit Function to Detect FCPA Violations
- 5:45 Conference Adjourns for the Day
- 5:45 Cocktail Reception Sponsored by Covington & Burling  

NOVEMBER 14, 2007 • MAIN CONFERENCE | DAY 2

- 8:30 Opening Remarks from Conference Chair
- 8:45 Implementing and Monitoring a Global Anti-Corruption Compliance Program
- 10:00 Coffee Break 
- 10:15 Conducting Pre-Merger (or Pre-IPO) FCPA Due Diligence
- 11:30 Voluntary Disclosures: How the Government Evaluates Them and What to Include
- 12:30 Networking Luncheon for Delegates and Speakers 
- 1:30 Luncheon Address – Anatomy of an Investigation: How the FBI Puts Together an FCPA Case
- 2:00 Conducting Due Diligence of Foreign Third Parties to Minimize Liability Risks
- 3:15 Refreshment Break
- 3:30 The Intersection of Anti-Corruption and Anti-Money Laundering: Combining Internal Controls and Procedures to Reduce Risk
- 4:45 Managing the PR Impact of an Investigation or Voluntary Disclosure
- 5:30 Chair's Closing Remarks and Conference Ends

• POST-CONFERENCE WORKSHOPS B AND C

- 9:00 Workshop C – Overcoming FCPA Compliance Challenges in Russia and The CIS
- 1:30 Workshop D – Dos and Don'ts in Preparing Voluntary Disclosures

MAIN CONFERENCE AGENDA | DAY 1

TUESDAY, NOVEMBER 13, 2007

7:30 CONTINENTAL BREAKFAST
AND REGISTRATION ☕

8:30 **OPENING REMARKS FROM THE
CONFERENCE CHAIR**



Homer E. Moyer, Jr.
Miller & Chevalier Chartered

8:45 **THE FCPA YEAR IN REVIEW: TRENDS IN
FCPA ENFORCEMENT AND HOW THEY
IMPACT COMPLIANCE PROGRAMS**



Mark F. Mendelsohn
Deputy Chief, Fraud Section
Criminal Division
U.S. Department of Justice

Fredric D. Firestone
Associate Director, Division of Enforcement
U.S. Securities and Exchange Commission



Danforth Newcomb
Shearman & Sterling



Peter Clark – Panel Moderator
Cadwalader Wickersham & Taft

- How recent FCPA cases will impact compliance programs
- Standards for deciding whether violations have occurred
- How the SEC and DOJ assess the scope and magnitude of violations
- Deciding whether a case is criminal or civil
- Interagency coordination
- Aggravating and mitigating factors

10:00 NETWORKING COFFEE BREAK ☕

10:15 **APPOINTING AND WORKING WITH
COMPLIANCE MONITORS: LESSONS
LEARNED FROM RECENT MONITORSHIPS**



Gregory S. Bruch
Foley & Lardner



Philip Urofsky
Shearman and Sterling

- What is the role of a Monitor: a compliance guardian or a company-financed probation officer?
- Negotiating the scope of the Monitor's workplan

- Assessing the costs of a Monitor
- Establishing an effective working relationship with a Monitor
- Who monitors the Monitor?
- The effect of monitorship on privilege and work product

11:15 **CONDUCTING A GLOBAL INVESTIGATION:
HOW TO OVERCOME BARRIERS TO
INFORMATION GATHERING AND
CONFLICTING LOCAL LAWS AND TRADITIONS**



Matthew O. Tanzer
Vice President and Chief Counsel
Compliance and Regulatory Affairs
Tyco International (US) Inc.



Laurence A. Urgenson
Kirkland & Ellis



Frederic R. Miller
Partner, Investigations and Forensic Services
PricewaterhouseCoopers

- When, how, and by whom should the global investigation be conducted?
- How a country's reputation for corruption should affect the investigation
- Navigating local privacy and data protection laws
- How to conduct a global investigation cost-effectively
- Assessing foreign official involvement – role, local law, recusals, disclosures
- Family or business relationships with foreign officials – when is it too close?
- How to judge whether compensation is excessive – value of services and of contract, dry holes, going rate/comparables?
- Analyzing methods of payment
- How much is enough in trying to learn the facts?

12:30 NETWORKING LUNCHEON
FOR DELEGATES AND SPEAKERS 

1:30 LUNCHEON ADDRESS

**THE LEVEL PLAYING FIELD: DOES FCPA
ENFORCEMENT PUT US COMPANIES AT
A DISADVANTAGE?**



The Honorable Alice S. Fisher
Assistant Attorney General
Criminal Division
U.S. Department of Justice

**2:00 INTERNATIONAL ENFORCEMENT:
PROSECUTORS SPEAK ON ANTI-CORRUPTION
ENFORCEMENT EXPLOSION IN EUROPE
AND WHAT MAKES A GOOD CASE**

Jean-Bernard Schmid
Deputy Prosecutor General
Republic and Canton of Geneva (Switzerland)

Fabio De Pasquale
Deputy Prosecutor
Milano (Italy)

Mark F. Mendelsohn
Deputy Chief, Fraud Section
Criminal Division
U.S. Department of Justice

Dr. Günther Puhm
Senior Public Prosecutor
Court of Appeal, München (Germany)

Nicola Bonucci – Panel Moderator
Director of Legal Affairs
Organisation for Economic Co-Operation
and Development (France)

- Trends in international cooperation among enforcement officials
- Differences among countries' adoption of OECD Convention
- Analysis of recent cases against companies and individuals
- Use of anti-money laundering laws to pursue corruption cases
- The extraterritorial application of European laws
- Compliance monitors in a European context
- Best practices for managing a foreign government investigation

3:15 REFRESHMENT BREAK

**3:30 THE LIMITS OF PERMISSIBLE PAYMENTS:
BUSINESS PROMOTION, HOSPITALITY,
FACILITATION AND GIFTS**



Sarah M. DiLorenzo
Senior Counsel, Global Compliance and Privacy
McDonald's Corporation



Rebecca (Riv) Goldman
Vice President, Commercial Law
Rockwell Automation



Alexandra A. Wrage
President
Trace International



Martin Weinstein – Panel Moderator
Wilkie, Farr & Gallagher

ETHICS

- Gifts and meals: defining “reasonable and customary”, and who decides?
- Overcoming challenges of cultural sensitivity: Christmas gifts and “little red envelopes”
- Addressing local law restrictions
- When your agent is picking up the bill: solving problems before they arrive
- Best practices for business travel
- How to handle customer requests for travel
- The frills: first class and family members – when is hospitality permitted?
- Facilitating payments: can't live with them ... but can we live without them?
- How to balance the need for internal controls with the expectations of customers in their target markets

**4:45 ENHANCING THE INTERNAL AUDIT
FUNCTION TO DETECT FCPA VIOLATIONS**



Manny Alas
Partner, Investigations and Forensic Services
PricewaterhouseCoopers



Timothy L. Dickinson
Paul, Hastings, Janofsky & Walker

- What is FCPA compliance auditing?
- How compliance controls and financial controls intersect
- Why and how to incorporate robust FCPA auditing into your compliance programs
- Evaluating the effectiveness of your business conduct guidelines
- Benchmarking your FCPA audit program
- Why you should audit books and records compliance
- The intersection of the audit and legal functions

5:45 CONFERENCE ADJOURNS FOR THE DAY

COCKTAIL RECEPTION

HOSTED BY: **COVINGTON**
COVINGTON & BURLING LLP

“The speakers are phenomenal. Great knowledge and update of the topics”

“Very helpful information on a topic where good information can be hard to find”

MAIN CONFERENCE AGENDA | DAY 2

WEDNESDAY, NOVEMBER 14, 2007

8:30 OPENING REMARKS FROM CONFERENCE CHAIR



Homer E. Moyer, Jr.
Miller & Chevalier Chartered

8:45 IMPLEMENTING AND MONITORING A GLOBAL ANTI-CORRUPTION COMPLIANCE PROGRAM



Julia K. Bailey
Assistant General Counsel,
International Transactions and Compliance
Honeywell International



Mary Gill
Senior Corporate Counsel
Flextronics



Martín Montes
Assistant General Counsel, International Legal Division
Wal-Mart Stores, Inc.



M. Craig Shepherd
Executive Director & Associate General Counsel,
Global Commercial Operations
Amgen Inc.



Paul Berger – Panel Moderator
Debevoise & Plimpton

- Resources, tools and techniques leading companies are using to maintain state-of-the-art anti-corruption compliance programs
- Creating a compliance culture and local ownership at home and in foreign operations
- Harmonizing U.S. and foreign anti-corruption compliance obligations
- How to review the performance of the global compliance program
- Establishing local controls to prevent, detect and respond to FCPA issues overseas
- Working with state-owned enterprises and government customers: procedures and limitations that work
- Making the best use of outside counsel and advisors in designing and implementing your global program
- Tailoring FCPA training to the company and region: how to develop a curriculum that reflects your industry and company's risks area
- The role of the audit committee in creating a compliance culture
- How senior management can credibly instill a compliance ethic
- How to get the message to permeate a large organization

10:00 COFFEE BREAK ☕

10:15 CONDUCTING PRE-MERGER (OR PRE-IPO) FCPA DUE DILIGENCE



Raja Chatterjee
Global Head, Anti-Corruption Group
Morgan Stanley



Gary DiBianco
Skadden, Arps, Slate, Meagher & Flom



Homer E. Moyer, Jr.
Miller & Chevalier Chartered

- What prospective acquirers should look for in a target's anti-corruption compliance
 - governing legal framework
 - policies and procedures, training, auditing and internal controls
 - historical risk issues
- What to do if pre-merger due diligence efforts reveal problems
 - evaluating public disclosure obligations under ad hoc and materiality standards
 - pros and cons of disclosure to authorities in relevant countries
 - conducting additional due diligence or investigatory work
 - role of outside counsel and forensic auditors
 - interviews/evidence summaries/real time updates
- Assessing regulatory risks prior to closing and other transactional issues
- Post-closing issues
 - compliance programs and internal controls in the new entity
 - evaluating post-closing disclosure obligations
 - addressing post-closing investigations and regulatory obligations

11:30 VOLUNTARY DISCLOSURES: HOW THE GOVERNMENT EVALUATES THEM AND WHAT TO INCLUDE



William D. Jacobson
Assistant Chief, Fraud Section
Criminal Division
U.S. Department of Justice



Richard Grime
O'Melveny & Myers



Roger Witten
Wilmer Cutler Pickering Hale and Dorr

- Pros and cons of making a voluntary disclosure
- Comparing and contrasting SEC and DOJ approaches
- How government agencies evaluate voluntary disclosures
- What are the aggravating and mitigating factors?

ETHICS

- Tracking the voluntary disclosure
- Fines: how the government determines fines, penalties
- What can be learned from recent voluntary disclosures

12:30 NETWORKING LUNCHEON FOR DELEGATES AND SPEAKERS 

1:30 LUNCHEON ADDRESS

ANATOMY OF AN INVESTIGATION: HOW THE FBI PUTS TOGETHER AN FCPA CASE

Donald W. Freese
Supervisory Special Agent
International Program Manager
Public Corruption Unit
Federal Bureau of Investigation

2:00 CONDUCTING DUE DILIGENCE OF FOREIGN THIRD PARTIES TO MINIMIZE LIABILITY RISKS



Luis Derrota
Associate General Counsel
Anadarko Petroleum



Cynthia A. Eaton
Compliance Manager
AAI Corporation



Susan Ringler
Senior Counsel for International Compliance
ITT Corporation



Kathryn Cameron Atkinson
Miller & Chevalier



Lanny A. Breuer – Panel Moderator
Covington & Burling

- Due diligence: how to design and implement for a prospective foreign third party relationship
- What enforcement agencies will expect you to have in your files
- What to do when your company is proceeding in the face of a known risk involving a third party
- How concerned should you be about local law?
- Reducing risks when political pressure from government officials to use a specific commercial intermediary
- Your good work has uncovered a “red flag” – now what?
- Is it possible to rehabilitate an intermediary with a shady past? Do you need to?
- Do contractual provisions, certifications and audit rights solve the problem?

3:15 REFRESHMENT BREAK

3:30 THE INTERSECTION OF ANTI-CORRUPTION AND ANTI-MONEY LAUNDERING: COMBINING INTERNAL CONTROLS AND PROCEDURES TO REDUCE RISK



Martha McCann
Chief Compliance Officer
GE Oil and Gas, Vetco Gray



Kathryn S. Reimann
GCG Chief Compliance Officer/Managing Director
Citigroup



Jane Wexton
Akerman Senterfitt

- How US companies are equally at risk overseas: extraterritoriality of US FCPA and US criminal anti-money laundering Law 18 USC 1956-57
- How due diligence focused on anti-money laundering yields red flags for corruption cases
- Jurisdictional clues in exposing criminal intent for FCPA and money laundering
- China’s response to public corruption: new Chinese criminal anti-money laundering laws
- Training a non-financial company to prevent both money laundering and corrupt payments with the same procedures
- Focusing compliance risk assessments on money laundering and corrupt payments
- Criminal money laundering laws are not just for financial institutions – how do you pay your agents and third party intermediaries?

4:45 MANAGING THE PR IMPACT OF AN INVESTIGATION OR VOLUNTARY DISCLOSURE



F. Joseph Warin
Gibson Dunn & Cutcher



Michael D. Goldhaber, Esq.
Senior International Correspondent
The American Lawyer

- What makes an investigation media-worthy?
- How to control the media frenzy
- Limiting company exposure once news hits
- What to tell the press and investors
- Minimizing the negative impact on management and employees

5:30 CHAIR’S CLOSING REMARKS AND CONFERENCE ENDS

THE FUNDAMENTALS OF FCPA COMPLIANCE: THE FOREIGN CORRUPT PRACTICES ACT DEMYSTIFIED

9:00 a.m. to 12:30 p.m. (Registration Opens 8:30 a.m.)



Valli Baldassano
Fox Rothschild

Jennifer Hardin
Assistant General Counsel, International Division
Devon Energy Corporation

Do you need an immersion in the FCPA and the elements involved in the key cases? This highly rated pre-conference workshop is designed to provide you with a comprehensive introduction to FCPA and cover all the bases: the anti-corruption and anti-bribery elements of the statute, internal controls and accounting requirements, and intersections with Sarbanes-Oxley and SEC reporting requirements. Delegates consistently give it top marks for both content and presentation.

This interactive and practical working session brings together highly experienced attorneys from the anti-corruption, internal investigations and compliance / controls bar. They will discuss core issues related to the statute and focus on the “nuts & bolts” and supply you with a foundation for dealing with day-to-day issues, including:

- Who is covered by the FCPA?
 - what constitutes a “instrumentality”
 - what constitutes a “government owned entity”

- Permissible and impermissible payments
 - anything “of value”
 - facilitating payments: limits on “grease”
 - political contributions
 - charitable contributions
- What constitutes activity deemed to “obtain or retain business”?
- Reasonable and bona fide expenses under the statute
- What triggers U.S. government anti-bribery investigations?
- Books and records requirements: a potential “Achilles Heel” for compliance
- Internal controls inaccuracies and public disclosure under the FCPA
- The FCPA paper trail: inaccurate books and records
- The intersection of Sarbanes-Oxley and FCPA
- The legal elements in the most significant cases from the past year: the stakes
- Fundamentals of an FCPA compliance program

Whether you are new to the field of FCPA or are a seasoned veteran with substantial experience seeking a comprehensive refresher, you will find this workshop invaluable for getting up to speed and maximize your benefit from the advanced discussions that are the hallmark of the main conference.

CONDUCTING AN EFFECTIVE FCPA COMPLIANCE ASSESSMENT

1:30 p.m. to 5:00 p.m. (Registration Opens 1:00 p.m.)



Margaret Ayres
Davis, Polk & Wardwell



Kathleen E. Troy
Senior Counsel
Raytheon Company

Risk assessment is the starting point for determining the incentives and opportunities that could lead to an FCPA violation, and the appropriate responses. Participants in this interactive workshop will examine practical steps for designing an effective process to assess the risks presented in the internal and external environments in which the company operates. With emphasis on the key operational risks presented by international business representatives, participants will then consider how to move from assessment to response.

- What is the process for conducting a risk assessment?
- How often should you perform the assessment, and when can you quit?
- Who should conduct, and whom should they talk to?
- Types of documentation to review / create
- What can you reasonably do to mitigate risks, once identified?
 - criteria for selection of international business representatives
 - procedures for vetting, monitoring and re-qualifying
 - training of company sales and marketing personnel
 - establishing review thresholds as the size and / or percentage of proposed commissions grows
- How can you evaluate the effectiveness of your response?

OVERCOMING FCPA COMPLIANCE CHALLENGES IN RUSSIA AND THE CIS

9:00 a.m. to 12:30 p.m. (Registration Opens 8:30 a.m.)



Lina Braude
Baker & McKenzie



Richard N. Dean
Baker & McKenzie



Thomas J. Meek
Global Director – Compliance
Alcoa, Inc.

Problems of corruption in Russia for foreign investors have increased dramatically in the last several years. Allegations of bribes required by government officials directly and through intermediary companies are rampant. This workshop will demonstrate how business can be done in Russia and the other countries in the CIS without violating anti-corruption and related legislation. The panelists are experienced in structuring transactions, implementing compliance

procedures and developing appropriate oversight mechanisms to minimize the risk of corrupt payments. The panel will cover the following topics:

- Evaluating the compliance of CIS subsidiaries, branches and offices with applicable anti-corruption laws and detecting potential problems
- Understanding the criteria for the selection of intermediaries in light of the particular due diligence challenges of the CIS markets
- Explaining local anti-corruption legislation and its enforcement
- Addressing the cultural problems in these countries which undermine compliance initiatives
- Discussing the problems of compliance that arise in the acquisition of companies in the CIS
- Understanding how to develop, implement and oversee effective compliance policies in the CIS countries

DOS AND DON'TS IN PREPARING VOLUNTARY DISCLOSURES

1:30 p.m. to 5:00 p.m. (Registration Opens 1:00 p.m.)



Dale Turza
Cadwalader Wickersham & Taft



Howard O. Weissman
Assistant General Counsel – International
Lockheed Martin Corporation

How you investigate potential FCPA violations and present voluntary disclosures to the agencies can determine whether the agency issues a warning letter, levies a civil fine, imposes a denial order, or even makes a criminal referral. There is no “one-size-fits-all” when it comes to investigating and disclosing potential wrong-doing in the FCPA compliance world. However, there are a number of best – and “worst” – practices, and there are a number of strategic decision points in the investigating and disclosure process when a wrong move can cost your company or client a great deal of money and adverse publicity.

This interactive and practical workshop discusses the “do’s and don’ts” of the voluntary disclosure world and encourages participants to share their own experiences related to (closed) investigations and voluntary disclosures. The workshop will offer an opportunity for participants to benchmark their experiences in the industry and learn from the experiences of others. Using voluntary disclosure case studies, the workshop leaders will lead a discussion covering:

- Scope of investigations
 - how far to go back

- what to include
- how far to drill down / whom to interview
- interviews with employees / Civil Miranda and other issues
- when to get employees separate counsel
- involving white collar defense counsel
- how to deal with third parties
- expediting the investigation and keeping things on track
- Whether and when to make a disclosure
 - when to make the disclosure
 - should you make an initial notification of voluntary disclosure?
- Educating Management about the disclosure and the disclosure process
 - how to prepare management for the very long haul
 - counting violations and advising management as to risk
 - case studies and audience summaries: what went right/wrong and why
 - implications for internal compliance procedures, compliance personnel, and continuing operations. How do you address the problem without shutting down operations? What do you do if the disclosure arises during corporate reorganization transactions?
- Making the disclosure
 - what to include (and what not to include) in your voluntary disclosure
 - what attachments to provide and how to summarize
- Tracking the voluntary disclosure

DISTINGUISHED FACULTY

Conference Chair



Homer E. Moyer, Jr.
Partner
Miller & Chevalier Chartered

Speakers

Manny Alas

Partner, Investigations and Forensic Services
PricewaterhouseCoopers

Kathryn Cameron Atkinson

Miller & Chevalier Chartered

Margaret Ayres

Davis, Polk & Wardwell

Julia K. Bailey

Assistant General Counsel, International
Transactions and Compliance
Honeywell International

Valli Baldassano

Fox Rothschild

Paul R. Berger

Debevoise & Plimpton

Nicola Bonucci

Director of Legal Affairs
Organisation for Economic Co-Operation
and Development (France)

Lina Braude

Baker & McKenzie

Lanny A. Breuer

Covington & Burling

Gregory S. Bruch

Foley & Lardner

Raja Chatterjee

Global Head, Anti-Corruption Group
Morgan Stanley

Peter B. Clark

Cadwalader, Wickersham & Taft

Richard N. Dean

Baker & McKenzie

Fabio De Pasquale

Deputy Prosecutor, Milano (Italy)

Luis Derrota

Associate General Counsel
Anadarko Petroleum

Gary DiBianco

Skadden, Arps, Slate, Meagher & Flom

Timothy L. Dickinson

Paul, Hastings, Janofsky & Walker

Sarah M. DiLorenzo

Senior Counsel, Global Compliance and Privacy
McDonald's Corporation

Cynthia A. Eaton

Compliance Manager, AAI Corporation

Fredric D. Firestone

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Martín Montes

Assistant General Counsel,
International Legal Division
Wal-Mart Stores, Inc.

Danforth Newcomb

Shearman & Sterling

Dr. Günther Puhm

Senior Public Prosecutor
Court of Appeal, München (Germany)

Kathryn S. Reimann

GCG Chief Compliance Officer
Managing Director, Citigroup

Susan Ringle

Senior Counsel for International Compliance
ITT Corporation

Jean-Bernard Schmid

Deputy Prosecutor General
Republic and Canton of Geneva (Switzerland)

M. Craig Shepherd

Executive Director & Associate General
Counsel, Global Commercial Operations
Amgen Inc.

Matthew O. Tanzer

Vice President and Chief Counsel
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Gibson Dunn & Cutcher

Martin J. Weinstein

Willkie, Farr & Gallagher

Howard O. Weissman

Assistant General Counsel – International
Lockheed Martin Corporation

Jane L. Wexton

Akerman Senterfitt

Roger M. Witten

Wilmer Cutler Pickering Hale and Dorr

Alexandra A. Wrage

President
Trace International

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